



Cancer Phase I trial design using drug combinations when a fraction of dose limiting toxicities is attributable to one or more agents

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EFSPI Workshop on Regulatory Statistics
Basel, Switzerland
September 25th, 2018

This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No 633567



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Motivation

- Design a cancer phase I trial combining a cytotoxic with a biological agent.
- The clinician can attribute certain toxicities to one of the drugs.

Questions:

- Can we incorporate this information?
- How does it affect?

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Dose-toxicity model (I)

Some notation:

- Let D be the dose limiting toxicity (DLT) or toxicity binary indicator.
- Let A be the attribution binary indicator.
- Let (δ_1, δ_2) indicate to which drug the toxicity is attributed (i.e., $(\delta_1 = 1, \delta_2 = 0)$ represents a toxicity attributed to drug 1).
- Let η represent the fraction of toxicity attributions and π the probability of DLT.

Depending on the outcomes, we have three different types of data.

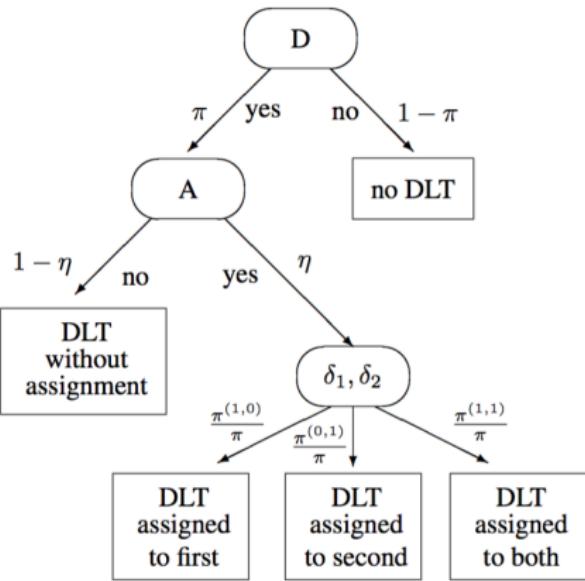
- Patients with no toxicity (D),
- Patients with non attributable toxicity (D, A),
- Patients with attributable toxicity (D, A, δ_1, δ_2).

Dose-toxicity model (II)

- $\pi = \pi^{(1,0)} + \pi^{(0,1)} + \pi^{(1,1)}$.
- We employ a copula model known as the Gumbel model [1, 2].

$$\begin{aligned}\pi^{(\delta_1, \delta_2)} &= (x^\alpha)^{\delta_1} (1 - x^\alpha)^{1-\delta_1} (y^\beta)^{\delta_2} (1 - y^\beta)^{1-\delta_2} \\ &+ (-1)^{(\delta_1+\delta_2)} x^\alpha (1 - x^\alpha) y^\beta (1 - y^\beta) \frac{e^{-\gamma} - 1}{e^{-\gamma} + 1}. \end{aligned} \tag{1}$$

Dose-toxicity model (II)



| D | A | δ_1 | δ_2 | Contribution to the Likelihood |
|-----|-----|------------|------------|--|
| 0 | - | - | - | $1 - \pi$ |
| 1 | 0 | - | - | $\pi \times (1 - \eta)$ |
| 1 | 1 | 1 | 0 | $\pi \times \eta \times \frac{\pi^{(1,0)}}{\pi}$ |
| 1 | 1 | 0 | 1 | $\pi \times \eta \times \frac{\pi^{(0,1)}}{\pi}$ |
| 1 | 1 | 1 | 1 | $\pi \times \eta \times \frac{\pi^{(1,1)}}{\pi}$ |

Dose-toxicity model (III)

Prior distribution of the model parameters:

- $\Pr(\alpha) = \text{Uniform}(0.2, 2)$.
- $\Pr(\beta) = \text{Uniform}(0.2, 2)$.
- $\Pr(\gamma) = \text{Gamma}(0.1, 0.1)$.

Posterior distribution of the model parameters:

$$\Pr(\alpha, \beta, \gamma \mid \text{data}) \propto \\ \Pr(\alpha, \beta, \gamma) \times \prod_{i=1}^n \left[(\eta_i \times \pi_i^{(\delta_{1i}, \delta_{2i})})^{A_i} (\pi_i (1 - \eta_i))^{1-A_i} \right]^{D_i} (1 - \pi_i)^{1-D_i}. \quad (2)$$

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Dose Escalation Algorithm (I)

- ① The first cohort in the trials receives the same dose combination. Hence $(x_1, y_1) = (X_{\min}, Y_{\min})$ and $(x_2, y_2) = (X_{\min}, Y_{\min})$.
- ② In the second cohort.

- Patient 3 receives doses (x_3, y_3) , where $y_3 = y_1$ and x_3 is equal to the dose $x \in C$ such that

$$|\text{Prob}(D = 1|x, y = y_1) - \theta|$$

is closer to zero. If a toxicity was caused by drug A, then x_3 cannot be higher than x_1 .

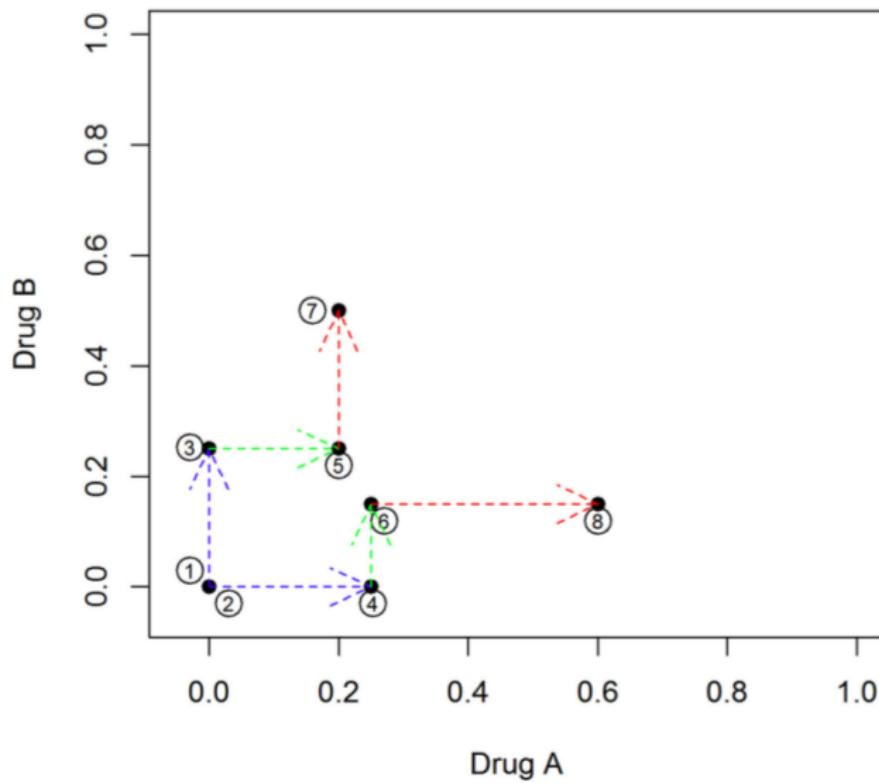
- Patient 4 receives doses (x_4, y_4) where $x_4 = x_2$ and y_4 is equal to the dose $y \in C$ such that

$$|\text{Prob}(D = 1|x = x_2, y) - \theta|$$

is closer to zero. If a toxicity was caused by drug B, then y_4 cannot be higher than y_2 .

- ③ Keep adding patients until the maximum sample size is reached.

Dose Escalation Algorithm (II)



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Design Operations Characteristics

Safety:

- Average % of toxicities.
- % of trials with toxicity rate greater than $\theta + 0.05$ and $\theta + 0.10$.

Efficiency:

- Continuous doses:
 - ① Pointwise average relative minimum distance between the true MTD and the estimated MTD curves (average bias).
 - ② Pointwise % selection.
- Discrete doses:
 - ① % of MTD selection.

MTD = Maximum Tolerated Dose

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Simulation Results (I)

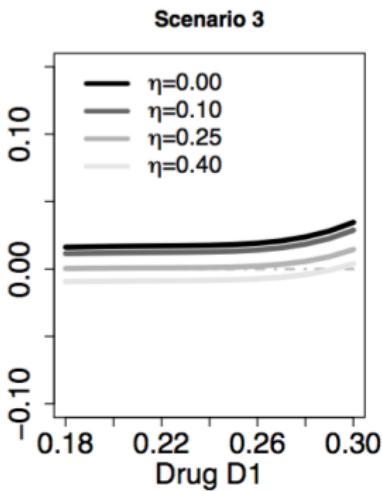
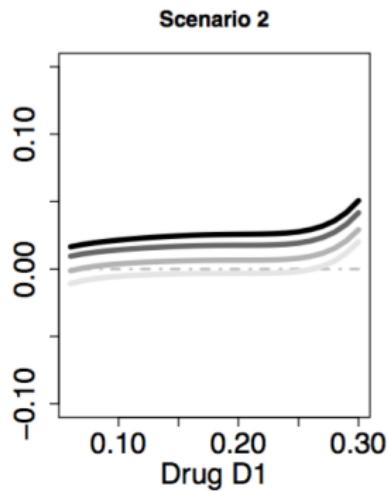
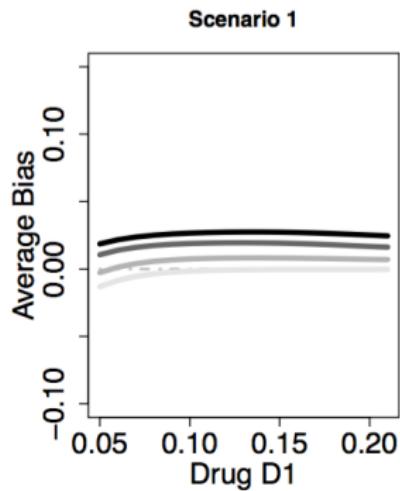
Safety results:

| | | Average % of toxicities | % of trials with toxicity rate $> \theta + 0.05$ | % of trials with toxicity rate $> \theta + 0.10$ |
|------------|---------------|-------------------------|--|--|
| Scenario 1 | $\eta = 0.00$ | 33.62 | 25.90 | 4.10 |
| | $\eta = 0.10$ | 32.67 | 22.60 | 4.80 |
| | $\eta = 0.25$ | 31.55 | 17.60 | 2.70 |
| | $\eta = 0.40$ | 30.70 | 13.30 | 2.00 |
| Scenario 2 | $\eta = 0.00$ | 30.64 | 9.40 | 0.90 |
| | $\eta = 0.10$ | 29.69 | 7.30 | 0.40 |
| | $\eta = 0.25$ | 28.76 | 5.00 | 0.20 |
| | $\eta = 0.40$ | 28.04 | 4.10 | 0.30 |
| Scenario 3 | $\eta = 0.00$ | 27.47 | 2.00 | 0.00 |
| | $\eta = 0.10$ | 26.80 | 1.80 | 0.00 |
| | $\eta = 0.25$ | 25.99 | 1.30 | 0.00 |
| | $\eta = 0.40$ | 25.37 | 0.70 | 0.00 |

Simulation Results (II)

Efficiency results:

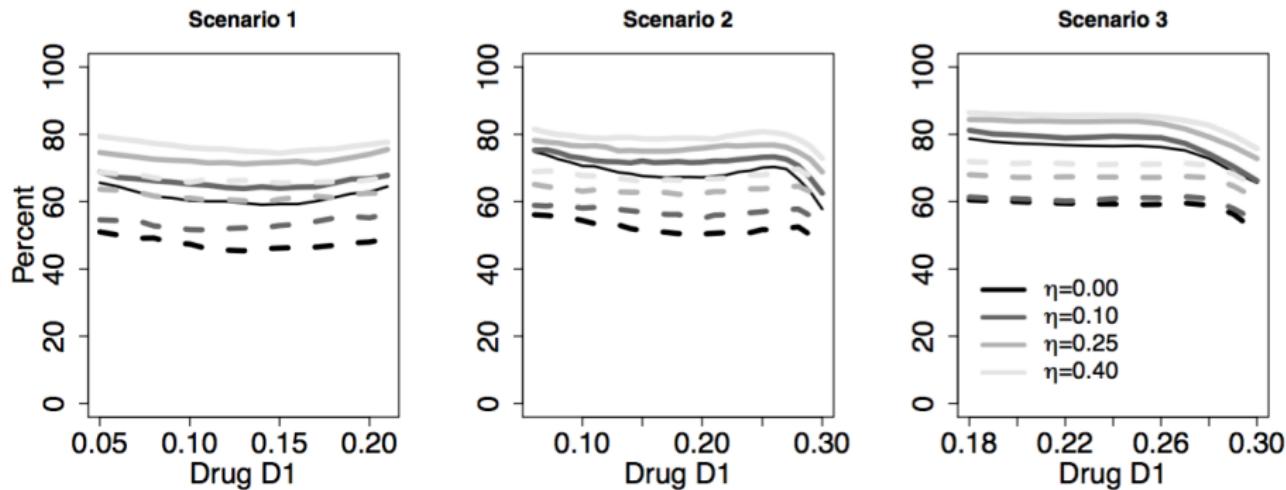
Average bias:



Simulation Results (III)

Efficiency results:

Average percent of selection:



Simulation Results (IV)

% of times a set of recommended MTDs belongs to the true MTD set in a discrete set of doses.

| | | % of correct MTD recommendation for $\theta \pm 0.10$ | | | |
|---------------|------------|---|--------------|--------------|--------------|
| | | $\geq 25\%$ | $\geq 50\%$ | $\geq 75\%$ | 100% |
| $\eta = 0.00$ | | 91.40 | 87.30 | 83.70 | 83.70 |
| $\eta = 0.10$ | | 92.50 | 87.80 | 83.90 | 83.90 |
| $\eta = 0.25$ | Scenario 1 | 90.90 | 87.70 | 83.80 | 83.80 |
| $\eta = 0.40$ | | 90.90 | 87.70 | 83.80 | 83.80 |
| $\eta = 0.00$ | | 78.10 | 78.10 | 73.60 | 73.60 |
| $\eta = 0.10$ | | 79.80 | 79.80 | 73.90 | 73.90 |
| $\eta = 0.25$ | Scenario 2 | 83.00 | 83.00 | 75.50 | 75.50 |
| $\eta = 0.40$ | | 83.50 | 83.50 | 76.20 | 76.20 |
| $\eta = 0.00$ | | 99.10 | 99.00 | 97.00 | 97.00 |
| $\eta = 0.10$ | | 99.30 | 98.60 | 95.10 | 95.10 |
| $\eta = 0.25$ | Scenario 3 | 97.10 | 96.40 | 91.90 | 91.90 |
| $\eta = 0.40$ | | 95.90 | 95.10 | 89.50 | 89.50 |

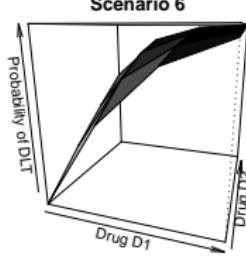
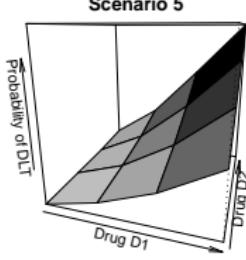
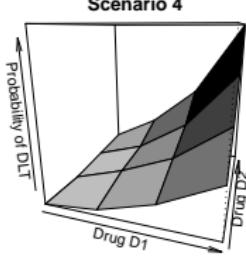
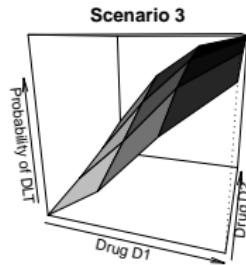
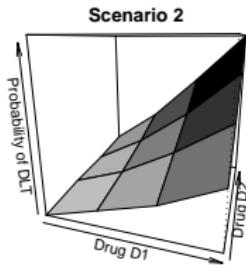
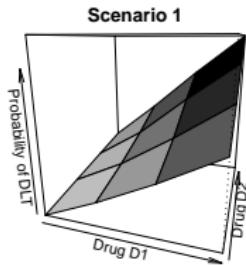
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Model Misspecification (I)

| Dose level | 1 | 2 | 3 | 4 | 1 | 2 | 3 | 4 |
|------------|-------------|-------------|-------------|-------------|------|-------------|-------------|-------------|
| | Scenario 1 | | | | | Scenario 4 | | |
| 4 | 0.28 | 0.41 | 0.55 | 0.68 | 0.04 | 0.09 | 0.17 | 0.32 |
| 3 | 0.25 | 0.35 | 0.48 | 0.60 | 0.03 | 0.06 | 0.12 | 0.23 |
| 2 | 0.22 | 0.30 | 0.40 | 0.51 | 0.02 | 0.05 | 0.09 | 0.16 |
| 1 | 0.19 | 0.26 | 0.34 | 0.43 | 0.02 | 0.03 | 0.06 | 0.11 |
| | Scenario 2 | | | | | Scenario 5 | | |
| 4 | 0.17 | 0.29 | 0.45 | 0.62 | 0.12 | 0.26 | 0.48 | 0.71 |
| 3 | 0.14 | 0.23 | 0.35 | 0.50 | 0.09 | 0.19 | 0.36 | 0.57 |
| 2 | 0.12 | 0.18 | 0.27 | 0.38 | 0.07 | 0.14 | 0.26 | 0.43 |
| 1 | 0.09 | 0.14 | 0.19 | 0.27 | 0.05 | 0.10 | 0.18 | 0.30 |
| | Scenario 3 | | | | | Scenario 6 | | |
| 4 | 0.37 | 0.72 | 0.92 | 0.98 | 0.78 | 0.94 | 0.99 | 1.00 |
| 3 | 0.26 | 0.59 | 0.85 | 0.96 | 0.68 | 0.90 | 0.97 | 0.99 |
| 2 | 0.18 | 0.44 | 0.74 | 0.91 | 0.57 | 0.83 | 0.94 | 0.98 |
| 1 | 0.12 | 0.30 | 0.59 | 0.82 | 0.45 | 0.73 | 0.90 | 0.97 |

Model Misspecification (II)



Model Misspecification (III)

| | | % of correct MTD recommendation for $\theta \pm 0.10$ | | | |
|---------------|------------|---|--------------|--------------|--------------|
| | | $\geq 25\%$ | $\geq 50\%$ | $\geq 75\%$ | 100% |
| $\eta = 0.00$ | Scenario 1 | 82.90 | 75.60 | 55.40 | 55.40 |
| | | 82.70 | 72.70 | 57.30 | 57.30 |
| | | 83.30 | 75.80 | 60.40 | 60.40 |
| | | 80.60 | 73.10 | 57.60 | 57.60 |
| $\eta = 0.00$ | Scenario 2 | 74.70 | 71.00 | 58.20 | 45.70 |
| | | 77.00 | 73.50 | 53.60 | 44.60 |
| | | 79.60 | 75.00 | 50.00 | 41.20 |
| | | 77.30 | 73.10 | 47.90 | 37.80 |
| $\eta = 0.00$ | Scenario 3 | 76.90 | 65.30 | 23.30 | 23.30 |
| | | 72.50 | 61.80 | 21.90 | 21.90 |
| | | 66.40 | 57.30 | 18.60 | 18.60 |
| | | 66.10 | 54.70 | 15.70 | 15.70 |

Model Misspecification (IV)

| | | % of correct MTD recommendation for $\theta \pm 0.10$ | | | |
|---------------|------------|---|--------------|--------------|--------------|
| | | $\geq 25\%$ | $\geq 50\%$ | $\geq 75\%$ | 100% |
| $\eta = 0.00$ | Scenario 4 | 98.80 | 98.80 | 87.80 | 87.80 |
| $\eta = 0.10$ | | 97.20 | 97.20 | 85.70 | 85.70 |
| $\eta = 0.25$ | | 95.70 | 95.70 | 82.00 | 82.00 |
| $\eta = 0.40$ | | 95.20 | 95.20 | 76.20 | 76.20 |
| $\eta = 0.00$ | Scenario 5 | 75.40 | 69.10 | 20.50 | 20.50 |
| $\eta = 0.10$ | | 71.80 | 62.80 | 20.40 | 20.40 |
| $\eta = 0.25$ | | 70.70 | 59.40 | 18.90 | 18.90 |
| $\eta = 0.40$ | | 71.20 | 60.50 | 16.70 | 16.70 |
| $\eta = 0.00$ | Scenario 6 | | | | |
| $\eta = 0.10$ | | | | | |
| $\eta = 0.25$ | | | | | |
| $\eta = 0.40$ | | 87.20 | | | |

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Conclusions

- Bayesian adaptive design for drug combination trials that includes toxicity attributions.
- Improvement of safety results and percentage of MTD selection.
- This work was recently published:

Jimenez, J. L., Tighiouart, M., & Gasparini, M. (2018). Cancer phase I trial design using drug combinations when a fraction of dose limiting toxicities is attributable to one or more agents. *Biometrical Journal*.

- Not very robust when dose-toxicity is very different from a surface generated with the FGM model (further work).

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Thank you for your attention!

Any question?



This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No 633567